

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ken ISHITOBI, et al.

Appln. No.: 10/042,154

Confirmation No.: 5825

Filed: January 11, 2002



Docket No: Q62628

Group Art Unit: 1712

Examiner: Daniel S. Metzmaier

RECEIVED  
OCT 10 2003  
TC 1700

For: POLISHING COMPOSITION AND MAGNETIC RECORDING DISK SUBSTRATE  
POLISHED WITH THE POLISHING COMPOSITION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore applicants are authorizing the required fee of \$180.00 under 37 C.F.R. § 1.17(p) to be charged to Deposit Account No. 19-4880.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/042,154

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication (Office Action) from the Chinese Patent Office in a counterpart application citing the first five of the listed Foreign Patent Documents, together with an English-language version of that portion of the Communication indicating the degree of relevance found by the Chinese Patent Office.

In addition, an English translation of the Abstract of each of the six listed Japanese language documents are being submitted herewith.

Further, applicant encloses herewith a copy of an English language International Search Report from the International Searching Authority (Japan Patent Office) citing each of the listed Japanese documents, including that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority.

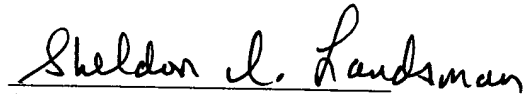
Applicant also notes that the listed U.S. Patent 5,593,468 which is submitted herewith corresponds to WO 97/05210 cited in the International Search Report.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/042,154

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Sheldon I. Landsman  
Registration No. 25,430

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: October 1, 2003

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/042,154
Confirmation Number	5825
Filing Date	January 11, 2002
First Named Inventor	Ken ISHITOBI
Art Unit	1712
Examiner Name	Daniel S. Metzmaier
Attorney Docket Number	Q62628

Sheet	1	of	1
-------	---	----	---

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,593,468		07-14-1997	Khaund et al
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**RECEIVED**  
OCT 10 2003  
TC 1700

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		CN	1251380	A	04-26-2000		Yes
		JP	55-27824	A	02-28-1980		Abstract
		JP	55-23034	A	02-19-1980		Abstract
		JP	2-69316	A	03-08-1990		Abstract
		JP	9-258277	A	10-03-1997		Abstract
		JP	7-011239	A	01-13-1995		Abstract
		JP	2000-063806	A	02-29-2000		Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.